

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
03226/340001In Re Application Of: **Bryan M. CANTRILL**Serial No.  
10/713,176Filing Date  
November 14, 2003

Examiner

Group Art Unit

Title: **MECHANISM FOR DYNAMICALLY-ALLOCATED VARIABLES IN AN ARBITRARY-CONTEXT  
TRACING FRAMEWORK**Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**MECHANISM FOR DYNAMICALLY-ALLOCATED VARIABLES IN AN ARBITRARY-CONTEXT  
TRACING FRAMEWORK****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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account is being facsimile transmitted to the United States  
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as first class mail under 37 C.F.R. 1.8 and is  
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1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Toni Hill

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by  
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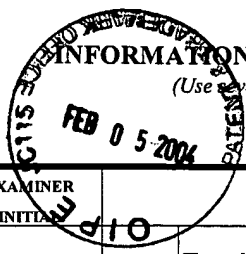
Signature

**Jonathan P. Osha, Reg. No. 33,986**  
**ROSENTHAL & OSHA L.L.P.**  
**1221 McKinney Street, Suite 2800**  
**Houston, Texas 77010**

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Facsimile: 713/228-8778

CC:

Dated: **2/3/04**



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional) <b>03226/340001</b>	Application Number <b>10/713,176</b>
Applicant(s) <b>Bryan m. CANTRILL</b>	
Filing Date <b>November 14, 2003</b>	Group Art Unit

*EXAMINER INITIALS	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
A1	Tamches, Ariel and Miller, Barton P. Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels. [Online] <a href="http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf">http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf</a> , Published February 1999
A2	Murayama, John. Performance Profiling Using TNF; [Online] <a href="http://developers.sun.com/solaris/articles/tnf.html">http://developers.sun.com/solaris/articles/tnf.html</a> , July 2001.
A3	Lorch, Jacob R. and Smith, Alan J. The VTrace Tool: Building a System Tracer for Windows NT and Windows 2000. [Online] <a href="http://msdn.microsoft.com/msdnmag/issues/1000/Ttrace/default.aspx">http://msdn.microsoft.com/msdnmag/issues/1000/Ttrace/default.aspx</a> , October 2000
A4	Chapter 10. The Generalized Trace Facility (GTF). [Online] <a href="http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS">http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS</a> , January 2001.
A5	Mirgorodskii, Alex. The Path to Portable Kernel Instrumentation: The Kerninst API. <a href="http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf">http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf</a> , April 2003.
A6	Richard Moore, et al. IBM Dynamic Probes <a href="http://www-124.ibm.com/developerworks/opensource/linux/projects/dprobes/README">http://www-124.ibm.com/developerworks/opensource/linux/projects/dprobes/README</a> , 2000
A7	K. Yaghmour et al.; "Measuring and Characterizing System Behavior Using Kernel-Level Event Logging"; Proceeding of the 2000 USENIX Annual Technical Conference; June 18-23, 2000, San Diego, California, USA

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.